



**Annexure – B**

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

**Data for every month ending**

S N	Received from	Carried forward from previous month	Receiv ed during the month	Total Pending	Resolve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g for less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Stock Exchanges	0	0	0	0	0		0
4	Other Sources(if any)	0	1	0	1	0		0
5	<b>Grand Total</b>	0	1	0	1	0		0



भारतीय प्रतिभूति और विनियम बोर्ड  
Securities and Exchange Board of India

Trend of monthly disposal of complaints

S.No.	Month	Carried forward from previous month	Received during the month	Resolved*	Pending**
1	2	3	4	5	6
1	APRIL 2021	0	0	0	0
2	MAY 2021	0	0	0	0
3	JUNE 2021	0	0	0	0
4	JULY 2021	0	0	0	0
5	AUG 2021	0	0	0	0
6	SEP 2021	0	0	0	0
7	OCT 2021	0	0	0	0
8	NOV 2021	0	0	0	0
9	DEC 2021	0	0	0	0
10	JAN 2022	0	0	0	0
11	FEB 2022	0	0	0	0
12	MARCH 2022	0	0	0	0
13	APRIL 2022	0	0	0	0
14	MAY2022	0	0	0	0
15	JUNE2022	0	0	0	0
16	JULY2022	0	0	0	0
17	AUG2022	0	0	0	0
18	SEP2022	0	1	1	0
18	OCT2022	0	0	0	0



18	NOV2022	0	0	0	0
19	DEC2022	0	0	0	0
20	JAN2023	0	0	0	0
21	FEB2023	0	0	0	0
22	MARCH2023	0	0	0	0
23	APRIL2023	0	0	0	0
24	MAY2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	1	1	0
6	2022-23	0	0	0	0
	<b>Grand Total</b>	0	1	1	0